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MR2833-42

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicants: | Mehdi K. Khandani, et al. | : | Group |
| Serial No: | 10/825,111 | : | Art Unit # 2661 |
| Filed: | 16 April 2004 | : | Examiner: |
| Title: | METHOD FOR QUANTIFYING RESPONSIVENESS OF FLOW AGGREGATES TO PACKET DROPS IN A COMMUNICATION NETWORK | | Unknown |



INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Applicants wish to make the following art references of record in the above-identified Patent Application pursuant to 37 C.F.R. §§ 1.97 and 1.98, and to the Duty of Disclosure set forth in 37 C.F.R. § 1.56.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject Patent Application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search was made or that no other material information, as defined in 37 C.F.R. § 1.56(b), exists.

Cited Other Art References are:

| <u>Ref. No.</u> | <u>Description</u> |
|-----------------|--|
| A | W. Feng, et al., "The Blue Active Queue Management Algorithms", IEEE/ACM Transactions on Networking, vol. 10, no. 4, pp. 513 – 528, Aug. 2002. |
| B | S. Floyd, et al., "Promoting the Use of End-to End Congestion Control in the Internet", IEEE/ACM Transactions on Networking, vol. 7, no. 4, pp. 458 – 472, Aug. 1999. |
| C | S. Floyd, et al., "Random Early Detection Gateways for Congestion Avoidance", IEEE/ACM Transactions on Networking, vol. 1, no. 4, pp. 397 – 413, Aug. 1993. |
| D | V. Jacobson, "Congestion Avoidance and Control", Proceedings of SIGCOMM 88, pp. 314 – 329, Aug. 1988. |
| E | S. Savage, et al., "Network Support for IP Traceback", IEEE/ACM Transactions on Networking, vol. 9, no. 3, pp. 226 – 237, June 2001. |
| F | V. Mishra, et al., "Fluid-based Analysis of a Network of AQM Routers Supporting TCP Flows with an Application to RED", Proceedings of SIGCOMM 2000, pp. 151 – 160, Aug. 2000. |
| G | M. Kalantari, et al., "Using Transient Behavior of TCP in Mitigation of Distributed Denial of Service Attacks", Proceedings of IEEE Conference on Decision and Control, Las Vegas, Nevada, pp. 1422 – 1427, Dec. 2002. |
| H | R. Mahajan, et al., "Controlling High Bandwidth Aggregates in the Network", ACM SIGCOMM Computer Communications Review, vol. 32, no. 3, pp. 62 – 73, July 2002. |
| I | E. Altman, et al., "A Stochastic Model of TCP/IP with Stationary Random Losses", Proceedings of SIGCOMM 2000, pp. 231 – 242, Aug. 2000. |

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Serial Number: 10/825,111

This Information Disclosure Statement is being filed more than three months subsequent to the filing date of the subject Patent Application, but before the mailing of a first Office Action.

A Form PTO-1449 and copies of the references are submitted along with this document. It is requested that the Examiner consider the references and make them of record in the above-referenced Patent Application.

Respectfully submitted,
FOR: ROSENBERG, KLEIN & LEE



David I. Klein
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Dated: 12 Aug. 2004

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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Complete if Known

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|------------------------|-------------------|
| Application Number | 10/825,111 |
| Filing Date | 16 April 2004 |
| First Named Inventor | Mehdi K. Khandani |
| Art Unit | 2661 |
| Examiner Name | |
| Attorney Docket Number | MR2833 |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|---|----------------|
| | A | W. Feng, et al., "The Blue Active Queue Management Algorithms", IEEE/ACM Transactions on Networking, vol. 10, no. 4, pp. 513 – 528, Aug. 2002. | |
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| | H | R. Mahajan, et al., "Controlling High Bandwidth Aggregates in the Network", ACM SIGCOMM Computer Communications Review, vol. 32, no. 3, pp. 62 – 73, July 2002. | |
| | I | E. Altman, et al., "A Stochastic Model of TCP/IP with Stationary Random Losses", Proceedings of SIGCOMM 2000, pp. 231 – 242, Aug. 2000. | |
| | J | | |

Examiner
Signature

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Considered

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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